RefCell	Reference counting	

Interior mutability & Reference counting

Chapters 4 & 9

Daniël de Kok

Chapters 4 & 9 Interior mutability & Reference counting

Motivation interior mutability	RefCell	Reference counting	
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Motivation interior mutability

Chapters 4 & 9 Interior mutability & Reference counting

Motivation interior mutability	RefCell	Cell	Reference counting	Conclusion
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Consider a small data structure to turn features into integers:

```
use std::collections::HashMap;
use std::hash::Hash;
```

pub struct Numberer<T>(HashMap<T, usize>);

Motivation interior mutability	RefCell	Reference counting	
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Consider a small data structure to turn features into integers:

```
use std::collections::HashMap;
use std::hash::Hash;
```

pub struct Numberer<T>(HashMap<T, usize>);

```
impl<T> Default for Numberer<T>
where
    T: Eq + Hash,
{
    fn default() -> Self {
        Numberer(HashMap::new())
    }
}
```

Motivation interior mutability	RefCell	Reference counting	
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```
impl<T> Numberer<T>
where
    T: Eq + Hash,
{
    pub fn get(&mut self, val: T) -> usize {
        let next_idx = self.0.len();
        *self.0.entry(val).or_insert(next_idx)
    }
}
```

Motivation interior mutability	RefCell	Reference counting	
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```
impl<T> Numberer<T>
where
    T: Eq + Hash,
{
    pub fn get(&mut self, val: T) -> usize {
        let next_idx = self.0.len();
        *self.0.entry(val).or_insert(next_idx)
    }
}
let mut numberer: Numberer<&'static str> = Numberer::default();
```

```
assert_eq!(numberer.get("hello"), 0);
assert_eq!(numberer.get("Rust"), 1);
assert_eq!(numberer.get("hello"), 0);
assert_eq!(numberer.get("again"), 2);
```

Motivation interior mutability	RefCell 00000000	Reference counting	

pub fn get(&mut self, val: T) -> usize

Numberer::get borrows self mutably.

Motivation interior mutability	RefCell 00000000	Reference counting	

pub fn get(&mut self, val: T) -> usize

Motivation interior mutability	RefCell 00000000	Reference counting	

pub fn get(&mut self, val: T) -> usize

Numberer::get borrows self mutably. Unsatisfying, because:

• Numberer can be seen as a total function $T \to \mathbb{N}$.

Motivation interior mutability	RefCell 00000000	Reference counting	

pub fn get(&mut self, val: T) -> usize

- Numberer can be seen as a total function $T \to \mathbb{N}$.
 - By-need index generation is an implementation detail.
 - Alternative implementation: feature hashing.

Motivation interior mutability	RefCell 00000000	Reference counting	

pub fn get(&mut self, val: T) -> usize

- Numberer can be seen as a total function $T \to \mathbb{N}$.
 - By-need index generation is an implementation detail.
 - Alternative implementation: feature hashing.
- The mutable binding trickles up the call chain.

Motivation interior mutability	RefCell 00000000	Reference counting	

pub fn get(&mut self, val: T) -> usize

- Numberer can be seen as a total function $T \to \mathbb{N}$.
 - By-need index generation is an implementation detail.
 - Alternative implementation: feature hashing.
- The mutable binding trickles up the call chain.
 - Suppose that Classifier has Numberer as a field.
 - Methods that use Numberer::get also need to take &mut self.

Motivation interior mutability	RefCell 00000000	Reference counting	

pub fn get(&mut self, val: T) -> usize

Numberer::get borrows self mutably. Unsatisfying, because:

- Numberer can be seen as a total function $T \to \mathbb{N}$.
 - By-need index generation is an implementation detail.
 - Alternative implementation: feature hashing.
- The mutable binding trickles up the call chain.
 - Suppose that Classifier has Numberer as a field.
 - Methods that use Numberer::get also need to take &mut self.

We need an escape hatch!

Motivation interior mutability	RefCell	Reference counting	
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Interior mutability is our escape hatch.

Motivation interior mutability	RefCell	Reference counting	
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- Interior mutability is our escape hatch.
- Allows you to mutate members without an &mut binding.

Motivation interior mutability	RefCell		
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- Interior mutability is our escape hatch.
- Allows you to mutate members without an &mut binding.
- Borrowing rules still apply:
 - Multiple immutable borrows; xor
 - a single mutable borrow.

Motivation interior mutability	RefCell	Reference counting	Conclusion
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- Interior mutability is our escape hatch.
- Allows you to mutate members without an &mut binding.
- Borrowing rules still apply:
 - Multiple immutable borrows; xor
 - a single mutable borrow.
- However: enforced at run-time rather than compile-time.

Motivation interior mutability	RefCell	Reference counting	Conclusion
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- Interior mutability is our escape hatch.
- Allows you to mutate members without an &mut binding.
- Borrowing rules still apply:
 - Multiple immutable borrows; xor
 - a single mutable borrow.
- However: **enforced at run-time** rather than compile-time.
- Be judicious with interior mutability: compile-time errors are nicer.

Motivation interior mutability Re	efCell	Reference counting	
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Two types of interior mutability

Rust offers two data types for interior mutability:

- 1 Cell: works with values
- 2 RefCell: works with references

Motivation interior mutability	RefCell	Reference counting	
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Two types of interior mutability

Rust offers two data types for interior mutability:

- 1 Cell: works with values
- 2 RefCell: works with references

We will first explore RefCell, because it fits most naturally with our motivating example.

Motivation interior mutability	RefCell ●00000000	Reference counting	

RefCell

Chapters 4 & 9 Interior mutability & Reference counting

RefCell	Reference counting	
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RefCell: construction

// Create a `RefCell` that owns a `String`.
let cell = RefCell::new("hello RefCell".to_string());

RefCell	Reference counting	
00000000		

RefCell: construction

```
// Create a `RefCell` that owns a `String`.
let cell = RefCell::new("hello RefCell".to_string());
assert_eq!(
    // Replace the owned `String` by another owned `String`,
    // the original owned data is returned.
    cell.replace("goodbye RefCell".to_string()),
    "hello RefCell");
```

RefCell	Reference counting	
00000000		

RefCell: construction

```
// Create a `RefCell` that owns a `String`.
let cell = RefCell::new("hello RefCell".to string());
assert_eq!(
 // Replace the owned `String` by another owned `String`,
  // the original owned data is returned.
  cell.replace("goodbye RefCell".to_string()),
  "hello RefCell");
assert_eq!(
  // Move the owned `String` out of the `RefCell`. The
  // `RefCell` is consumed after this.
  cell.into inner(),
```

```
"goodbye RefCell");
```

RefCell	Reference counting	
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RefCell provides the borrow method to borrow the wrapped value:

Motivation interior mutability	RefCell 00●000000	Reference counting	

RefCell provides the borrow method to borrow the wrapped value:

pub fn borrow(&self) -> Ref<T>

borrow does not simply return &T.

Motivation interior mutability	RefCell 00●000000	Cell 0000000000	Reference counting	Conclusion 00

RefCell provides the borrow method to borrow the wrapped value:

- borrow does not simply return &T.
- It needs a data structure with an associated Drop implementation to keep track of the number of borrows. Why?

Motivation interior mutability	RefCell 00●000000	Cell 0000000000	Reference counting	Conclusion 00

RefCell provides the borrow method to borrow the wrapped value:

- borrow does not simply return &T.
- It needs a data structure with an associated Drop implementation to keep track of the number of borrows. Why?
- To enforce borrowing rules.

RefCell	Reference counting	
00000000		

RefCell provides the borrow method to borrow the wrapped value:

- borrow does not simply return &T.
- It needs a data structure with an associated Drop implementation to keep track of the number of borrows. Why?
- To enforce borrowing rules.
- Ref implements the Deref trait.

RefCell	Reference counting	
00000000		

let cell = RefCell::new("hello RefCell".to_string());

- let borrow1 = cell.borrow();
- let borrow2 = cell.borrow();

RefCell	Reference counting	
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```
let cell = RefCell::new("hello RefCell".to_string());
```

```
let borrow1 = cell.borrow();
let borrow2 = cell.borrow();
assert_eq!(borrow1.len(), 13);
assert_eq!(*borrow2, "hello RefCell");
```

RefCell	Reference counting	
00000000		

RefCell: borrowing mutably

```
let cell = RefCell::new("hello RefCell".to_string());
```

```
{
    let mut b = cell.borrow_mut();
    b.push('!');
}
assert_eq!(cell.into_inner(), "hello RefCell!");
```

Interior mutability & Reference counting

 Motivation interior mutability
 RefCell
 Cell
 Reference counting
 Conclusion

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RefCell: borrowing mutably (2)

How does RefCell bring interior mutability?

 Metron interior mutability
 RefCell
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 Reference counting
 Conclusion

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RefCell: borrowing mutably (2)

How does RefCell bring interior mutability? borrow_mut does not borrow self mutably:

pub fn borrow_mut(&self) -> RefMut<T>

 Motivation interior mutability
 RefCell
 Cell
 Reference counting
 Conclusion

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RefCell: borrowing mutably (2)

How does RefCell bring interior mutability? borrow_mut does not borrow self mutably:

pub fn borrow_mut(&self) -> RefMut<T>

The compile-time borrowing rules are circumvented using unsafe Rust.

RefCell	Reference counting	
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Enforcement of the borrow rules

The borrow rules are enforced at runtime:

```
let cell = RefCell::new("hello RefCell".to_string());
```

```
let immutable = cell.borrow();
```

// Compiles, but panics at runtime with:
// 'already borrowed: BorrowMutError'
let mut mutable = cell.borrow_mut();

Interior mutability & Reference counting
RefCell	Reference counting	
000000000		

```
use std::cell::RefCell;
use std::collections::HashMap;
use std::hash::Hash;
```

pub struct Numberer<T>(RefCell<HashMap<T, usize>>);

RefCell	Reference counting	
000000000		

```
use std::cell::RefCell;
use std::collections::HashMap;
use std::hash::Hash;
```

pub struct Numberer<T>(RefCell<HashMap<T, usize>>);

```
impl<T> Default for Numberer<T>
    where
    T: Eq + Hash,
{
    fn default() -> Self {
        Numberer(RefCell::new(HashMap::new()))
        // Or: Numberer(Default::default())
    }
}
```

RefCell	Reference counting	
00000000		

```
impl<T> Numberer<T>
where
    T: Eq + Hash,
{
    pub fn get(&self, val: T) -> usize {
        let next_idx = self.0.borrow().len();
        *self.0.borrow_mut().entry(val).or_insert(next_idx)
    }
}
```

RefCell	Reference counting	
00000000		

```
impl<T> Numberer<T>
where
    T: Eq + Hash,
    pub fn get(&self, val: T) -> usize {
        let next_idx = self.0.borrow().len();
        *self.0.borrow_mut().entry(val).or_insert(next_idx)
    }
}
#[test]
fn numberer_test() {
    let numberer: Numberer<&'static str> = Numberer::default();
    assert_eq!(numberer.get("hello"), 0);
    assert_eq!(numberer.get("Rust"), 1);
    assert_eq!(numberer.get("hello"), 0);
    assert_eq!(numberer.get("again"), 2);
```

Interior mutability & Reference counting

Chapters 4 & 9

Motivation interior mutability	RefCell 000000000	Cell ●000000000	Reference counting	



Chapters 4 & 9 Interior mutability & Reference counting

Motivation interior mutability	RefCell 00000000	Cell 0●00000000	Reference counting	

• Cell is value-oriented.

Chapters 4 & 9 Interior mutability & Reference counting

Motivation interior mutability	RefCell 00000000	Cell 0●00000000	Reference counting	

- Cell is value-oriented.
- Does not need/implement run-time borrows checking.

RefCell	Cell	Reference counting	
	000000000		

// Create a `Cell` that owns a `String`.
let cell = Cell::new("Rustic".to_string());

RefCell	Cell	Reference counting	
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```
// Create a `Cell` that owns a `String`.
let cell = Cell::new("Rustic".to_string());
```

```
assert_eq!(
    // Replace the owned `String` by another owned `String`,
    // the original owned data is returned.
    cell.replace("cells".to_string()),
    "Rustic");
```

RefCell	Cell	Reference counting	
	000000000		

```
// Create a `Cell` that owns a `String`.
let cell = Cell::new("Rustic".to_string());
```

```
assert_eq!(
    // Replace the owned `String` by another owned `String`,
    // the original owned data is returned.
    cell.replace("cells".to_string()),
    "Rustic");
```

```
// Set the value. Drops the owned value.
cell.set("are mutable".to_string());
```

RefCell	Cell	Reference counting	
	000000000		

```
assert_eq!(
    // Move the owned `String` out of the `Cell`. The
    // `Cell` is consumed after this.
    cell.into_inner(),
    "cells");
```

Motivation interior mutability	RefCell 00000000	Cell 0000●00000	Reference counting	

Cell: Copy types

Cell implements a get method for copy types, that returns a copy of the current value:

```
let cell = Cell::new(5);
assert_eq!(cell.get(), 5);
cell.set(6);
assert_eq!(cell.get(), 6);
```

Motivation interior mutability	RefCell 000000000	Cell 00000●0000	Reference counting	

Cell: Default types

Cell implements a take method for Default types. It is equivalent to replacing the value by Default::default():

```
let cell = Cell::new(vec![1, 2, 3]);
assert_eq!(
   // Move the vector [1, 2, 3] out of the cell,
   // replace it by an empty `Vec`.
   cell.take(),
   vec![1, 2, 3]);
```

Motivation interior mutability	RefCell 000000000	Cell 00000●0000	Reference counting	

Cell: Default types

Cell implements a take method for Default types. It is equivalent to replacing the value by Default::default():

```
let cell = Cell::new(vec![1, 2, 3]);
assert_eq!(
   // Move the vector [1, 2, 3] out of the cell,
   // replace it by an empty `Vec`.
   cell.take(),
   vec![1, 2, 3]);
assert_eq!(
   // Unwrap the inner vector [].
   cell.into_inner(),
   vec![]);
```

RefCell	Cell	Reference counting	Conclusion
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In-class assignment

Implement Numberer with Cell interior mutability.

Motivation interior mutability	RefCell 00000000	Cell 0000000●00	Reference counting	

• Core primitive for interior mutability: UnsafeCell:

Motivation interior mutability	RefCell 00000000	Cell 0000000●00	Reference counting	

■ Core primitive for interior mutability: UnsafeCell:

Wraps a value of type T.

Motivation interior mutability	RefCell 00000000	Cell 0000000●00	Reference counting	

Core primitive for interior mutability: UnsafeCell:

- Wraps a value of type T.
- Provides a get method that returns *mut T.

Motivation interior mutability	RefCell 00000000	Cell 0000000●00	Reference counting	

- Core primitive for interior mutability: UnsafeCell:
 - Wraps a value of type T.
 - Provides a get method that returns *mut T.
 - Users of UnsafeCell should enforce the borrowing rules.

Motivation interior mutability	RefCell 00000000	Cell 0000000●00	Reference counting	

- Core primitive for interior mutability: UnsafeCell:
 - Wraps a value of type T.
 - Provides a get method that returns *mut T.
 - Users of UnsafeCell should enforce the borrowing rules.
- Cell wraps UnsafeCell:

Motivation interior mutability	RefCell 00000000	Cell 0000000●00	Reference counting	

- Core primitive for interior mutability: UnsafeCell:
 - Wraps a value of type T.
 - Provides a get method that returns *mut T.
 - Users of UnsafeCell should enforce the borrowing rules.
- Cell wraps UnsafeCell:
 - Provides safety by not providing references to the wrapped data.

RefCell	Cell	Reference counting	
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• The wrapped value is stored in an UnsafeCell.

Motivation interior mutability	RefCell 000000000	Cell 0000000000	Reference counting	

- The wrapped value is stored in an UnsafeCell.
- A cell is used to keep track of borrows:

RefCell	Cell	Reference counting	
	0000000000		

- The wrapped value is stored in an UnsafeCell.
- A cell is used to keep track of borrows:

```
pub struct RefCell<T: ?Sized> {
    borrow: Cell<BorrowFlag>,
    value: UnsafeCell<T>,
}
```

RefCell	Cell	Reference counting	
	0000000000		

- The wrapped value is stored in an UnsafeCell.
- A cell is used to keep track of borrows:

```
pub struct RefCell<T: ?Sized> {
    borrow: Cell<BorrowFlag>,
    value: UnsafeCell<T>,
}
```

- BorrowFlag is a usize with one of the following values:
 - 0: no borrows
 - !0: a mutable borrow
 - [1, MAX-1]: N immutable borrows

RefCell	Cell	Reference counting	
	0000000000		

- The wrapped value is stored in an UnsafeCell.
- A cell is used to keep track of borrows:

```
pub struct RefCell<T: ?Sized> {
    borrow: Cell<BorrowFlag>,
    value: UnsafeCell<T>,
}
```

- BorrowFlag is a usize with one of the following values:
 - 0: no borrows
 - !0: a mutable borrow
 - [1, MAX-1]: N immutable borrows

RefCell	Cell	Reference counting	
	000000000		

```
pub struct RefCell<T: ?Sized> {
    borrow: Cell<BorrowFlag>,
    value: UnsafeCell<T>,
}
```

```
borrow() permitted when borrow != !0
```

```
sets borrow to borrow + 1
```

- borrow_mut() permitted when borrow is 0
 - sets borrow to !0
- When a borrow()/borrow_mut() is not permitted \rightarrow panic.

Motivation interior mutability	RefCell 00000000	Reference counting	

Chapters 4 & 9 Interior mutability & Reference counting

Motivation interior mutability	RefCell 000000000	Reference counting ○●○○○○○○○○○○○	

For some data, there is no clear single owner.

RefCell	Reference counting	
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- For some data, there is no clear single owner.
- Examples:
 - A model that is used by multiple views in a GUI application.
 - Immutable data structures with sharing.
 - Graphs (but watch out for cycles!).

RefCell	Reference counting	
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- For some data, there is no clear single owner.
- Examples:
 - A model that is used by multiple views in a GUI application.
 - Immutable data structures with sharing.
 - Graphs (but watch out for cycles!).
- Rust provides shared ownership with reference counting throug Rc.

Motivation interior mutability	RefCell 000000000	Reference counting 00●0000000000	

Reference counting is a form of **garbage collection**.

Motivation interior mutability	RefCell 00000000	Reference counting 00●0000000000	

- Reference counting is a form of **garbage collection**.
- Data is stored with a counter:

Motivation interior mutability	RefCell 00000000	Reference counting ○○●○○○○○○○○○	

- Reference counting is a form of **garbage collection**.
- Data is stored with a counter:
 - Creating a new reference increments the counter.

Motivation interior mutability	RefCell 00000000	Reference counting ○○●○○○○○○○○○○	

- Reference counting is a form of garbage collection.
- Data is stored with a counter:
 - Creating a new reference increments the counter.
 - Dropping a reference decrements the counter.

Motivation interior mutability	RefCell 00000000	Reference counting ○○●○○○○○○○○○○	

- Reference counting is a form of garbage collection.
- Data is stored with a counter:
 - Creating a new reference increments the counter.
 - Dropping a reference decrements the counter.
 - The data is dropped when the counter reaches 0.
| Motivation interior mutability | RefCell
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Reference counting

- Reference counting is a form of garbage collection.
- Data is stored with a counter:
 - Creating a new reference increments the counter.
 - Dropping a reference decrements the counter.
 - The data is dropped when the counter reaches 0.
- Reference in this context is not to be confused with Rust's references.

Motivation interior mutability	RefCell 00000000	Reference counting ○○●○○○○○○○○○○	

Reference counting

- Reference counting is a form of garbage collection.
- Data is stored with a counter:
 - Creating a new reference increments the counter.
 - Dropping a reference decrements the counter.
 - The data is dropped when the counter reaches 0.
- Reference in this context is not to be confused with Rust's references.
- Standard form of garbage collection in e.g. (C)Python.

RefCell	Reference counting	
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let a = Rc::new("I will be shared".to_string());
assert_eq!(Rc::strong_count(&a), 1);

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RefCell	Reference counting	
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RefCell	Reference counting	
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RefCell	Reference counting	
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drop(b); assert_eq!(Rc::strong_count(&a), 2);

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RefCell	Reference counting	
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drop(c);
assert_eq!(Rc::strong_count(&a), 1);

Chapters 4 & 9

RefCell	Reference counting	
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drop(a);

Motivation interior mutability	RefCell 00000000	Reference counting 000000000000000000000000000000000000	

Using Rc data

Rc implements Deref:

```
let a = Rc::new("I will be shared".to_string());
let b = a.clone();
assert_eq!(b.len(), 16);
```

Motivation interior mutability	RefCell 00000000	Reference counting 000000000€00	

Combining Rc and RefCell

Rc<T> is immutable (unless the reference count is 1).

Motivation interior mutability 000000	RefCell 000000000	Reference counting	

Combining Rc and RefCell

- Rc<T> is immutable (unless the reference count is 1).
- Wrapping RefCell<T> in Rc gives us mutable reference-counted memory:

```
let s = "I will be shared".to_string();
let a: Rc<RefCell<String>> = Rc::new(RefCell::new(s));
let b = a.clone();
b.borrow_mut().push_str("... Done!");
assert_eq!(*a.borrow(), "I will be shared... Done!");
```

Motivation interior mutability 000000	RefCell 000000000	Reference counting	

Combining Rc and RefCell

- Rc<T> is immutable (unless the reference count is 1).
- Wrapping RefCell<T> in Rc gives us mutable reference-counted memory:

```
let s = "I will be shared".to_string();
let a: Rc<RefCell<String>> = Rc::new(RefCell::new(s));
let b = a.clone();
b.borrow_mut().push_str("... Done!");
assert_eq!(*a.borrow(), "I will be shared... Done!");
```

 Similarly wrapping in Rc<T> in RefCell gives us reference counting pointers that can be updated.

Motivation interior mutability	RefCell 00000000	Reference counting ○○○○○○○○○○○	
Watch out: cycles			

Motivation interior mutability 000000	RefCell 000000000	Reference counting ○○○○○○○○○○○●○	
Watch out: cvcl	es		

```
#[derive(Debug)]
enum List {
    Cons(usize, RefCell<Rc<List>>),
    Nil,
}
```

Motivation interior mutability 000000	RefCell 000000000	Reference counting ○○○○○○○○○○○○○	
Watch out: cycles			

```
#[derive(Debug)]
enum List {
    Cons(usize, RefCell<Rc<List>>),
    Nil,
}
let a = Rc::new(Cons(1, RefCell::new(Rc::new(Nil))));
let b = Rc::new(Cons(2, RefCell::new(a.clone())));
```

Motivation interior mutability 000000	RefCell 000000000	Reference counting ○○○○○○○○○○○	
Watch out: cyc	les		

```
#[derive(Debug)]
enum List {
    Cons(usize, RefCell<Rc<List>>),
    Nil,
}
let a = Rc::new(Cons(1, RefCell::new(Rc::new(Nil))));
let b = Rc::new(Cons(2, RefCell::new(a.clone())));
if let Cons(_, cell) = &*a {
    *cell.borrow_mut() = b.clone();
}
```

Motivation interior mutability	RefCell 00000000	Reference counting 000000000000	

Ramifications

Cycles are not deallocated!

Motivation interior mutability	RefCell 00000000	Reference counting ○○○○○○○○○○○	

Ramifications

- Cycles are not deallocated!
- Some functions are not well-behaved on memory with cycles.
 - E.g. the println macro will panic with a stack overflow.

Motivation interior mutability	RefCell 00000000	Reference counting ○○○○○○○○○○○	

Ramifications

- Cycles are not deallocated!
- Some functions are not well-behaved on memory with cycles.
 - E.g. the println macro will panic with a stack overflow.
- Cycles can be broken with weak references.

Motivation interior mutability	RefCell 00000000	Reference counting	Conclusion ●O

Chapters 4 & 9 Interior mutability & Reference counting

Motivation interior mutability	RefCell 000000000	Reference counting	Conclusion O

- Use Cell or RefCell for interior mutability.
- Use Rc for shared ownership.

Motivation interior mutability	RefCell 00000000	Reference counting	Conclusion

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- Be judicious with these three data structures:
 - Guarantees change go from compile-time to run-time.
 - Rc + RefCell can create cycles.
 - Try with exterior mutability and single owner first.

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 - Try with exterior mutability and single owner first.
- Cell and RefCell references cannot be shared between threads.
- Rc cannot be shared nor send between threads.
- There are thread-safe counterparts, such as Mutex, RwLock, and Arc.